

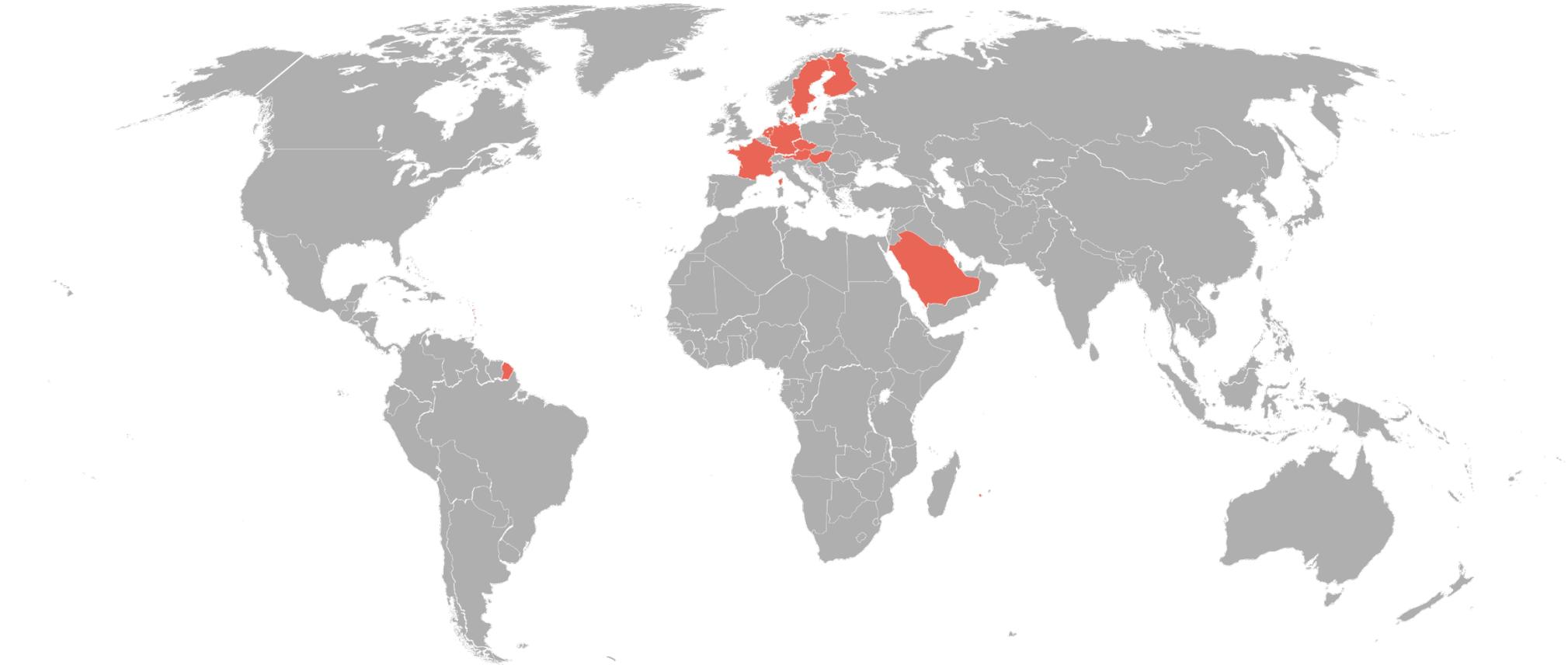
# How transformative is it? Tools to assess the performance of Transformative Agreements

Adam Der, Max-Planck Digital Library, Germany  
Rita Pinhasi, Vienna University Library, Austria

Charleston Conference, Nov. 10, 2023

# Geographic distribution of TAs

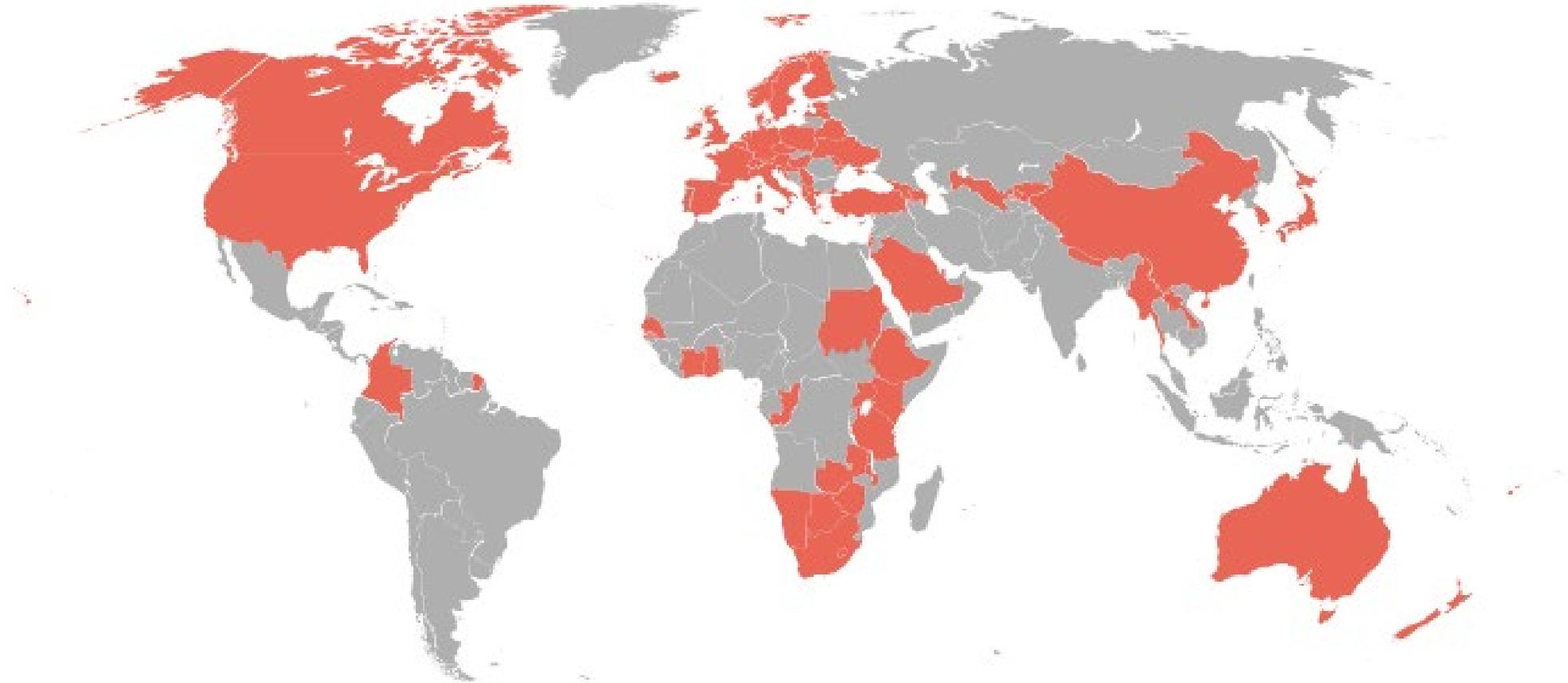
2018



Source: Countries with transformative agreements listed in the ESAC Registry

# Geographic distribution of TAs

2023

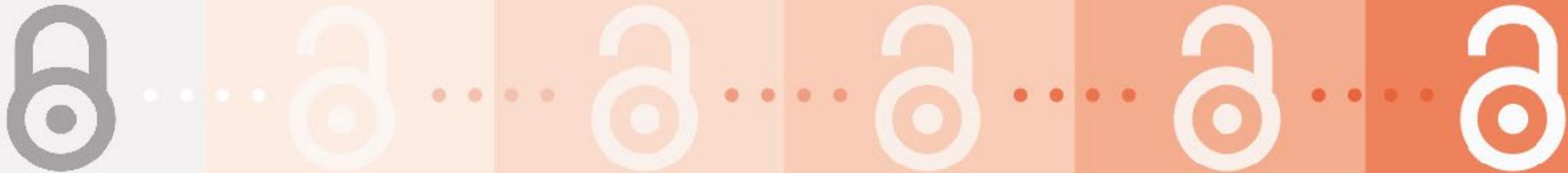


Source: Countries with transformative agreements listed in the ESAC Registry

# Transformation drivers

## HOW TRANSFORMATIVE IS IT?

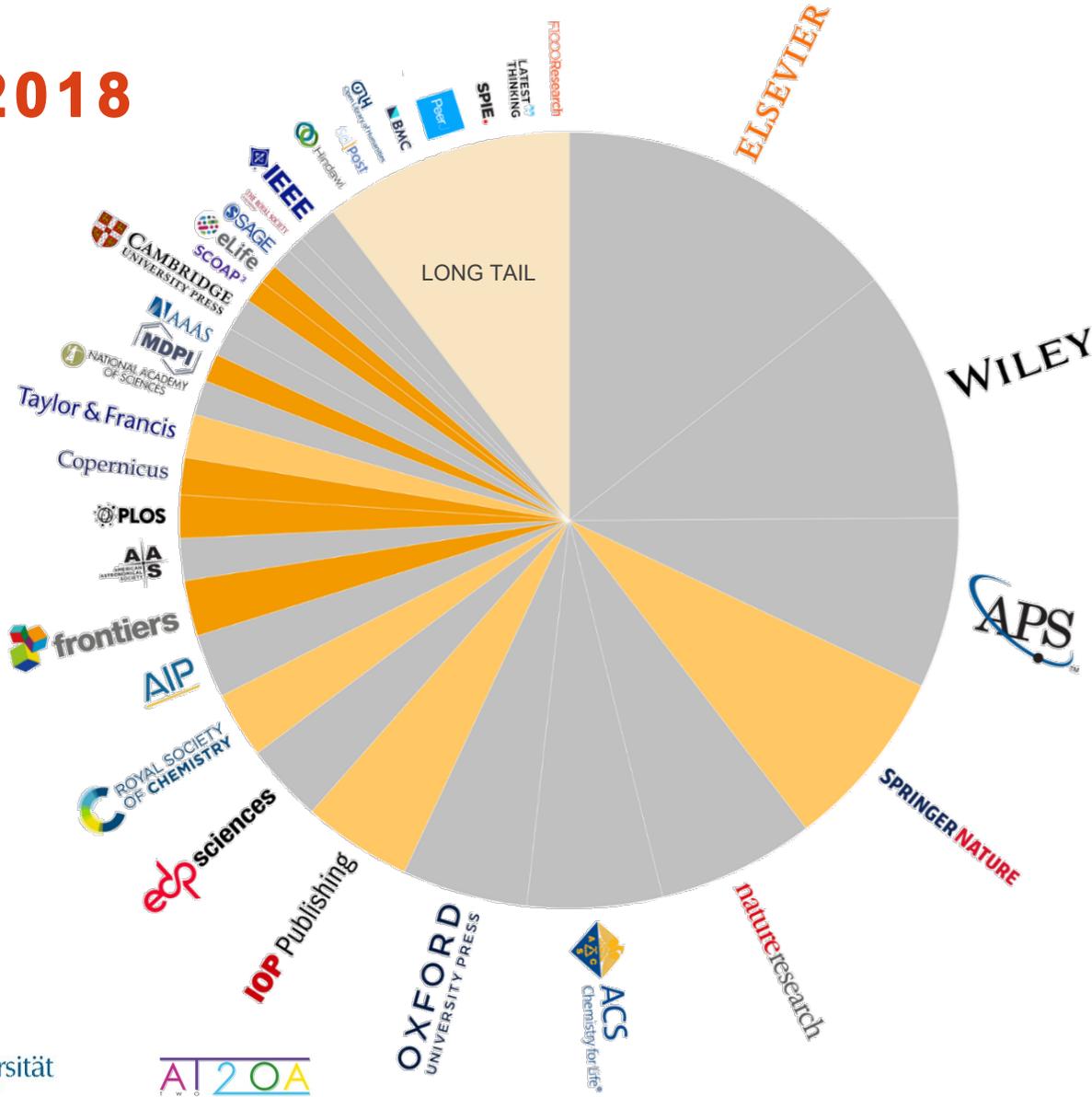
A spectrum of transformation drivers leading to an open scholarly publishing paradigm



[ESAC Reference Guide to Transformative Agreements](#) – [How Transformative Is It? spectrum](#)

# Open Access transition in the Max Planck Society

2018



In 2018, MPDL had transformative agreements with Springer, IOP Publishing, RSC, and Taylor & Francis, and central billing agreements with several fully OA publishers

- subscription publisher
- open access publisher
- transformative agreement



# Transformation drivers

## OPEN ACCESS PUBLISHING

Empower authors with the means and opportunity to publish 100% of their research articles, accepted for publication in all journals of the relative publisher, open access.

## ORGANIZE INVESTMENTS AROUND OA INSTEAD OF PAYWALLS

Rein in and re-engineer the parallel financial streams of the subscription-paradigm and orient institutional investments in scholarly publishing around open access.

## A SUSTAINABLE TRANSITION

Establish mechanisms to ensure sustainability and mitigate potential financial risks as the scope of agreements evolves from the static lock-in of subscriptions to the dynamic nature of (open access) publishing.

## OPTIMIZE PROCESSES FOR OPEN ACCESS

Establish accountability for continued optimization of processes around OA publishing.

## PRICE TRANSPARENCY

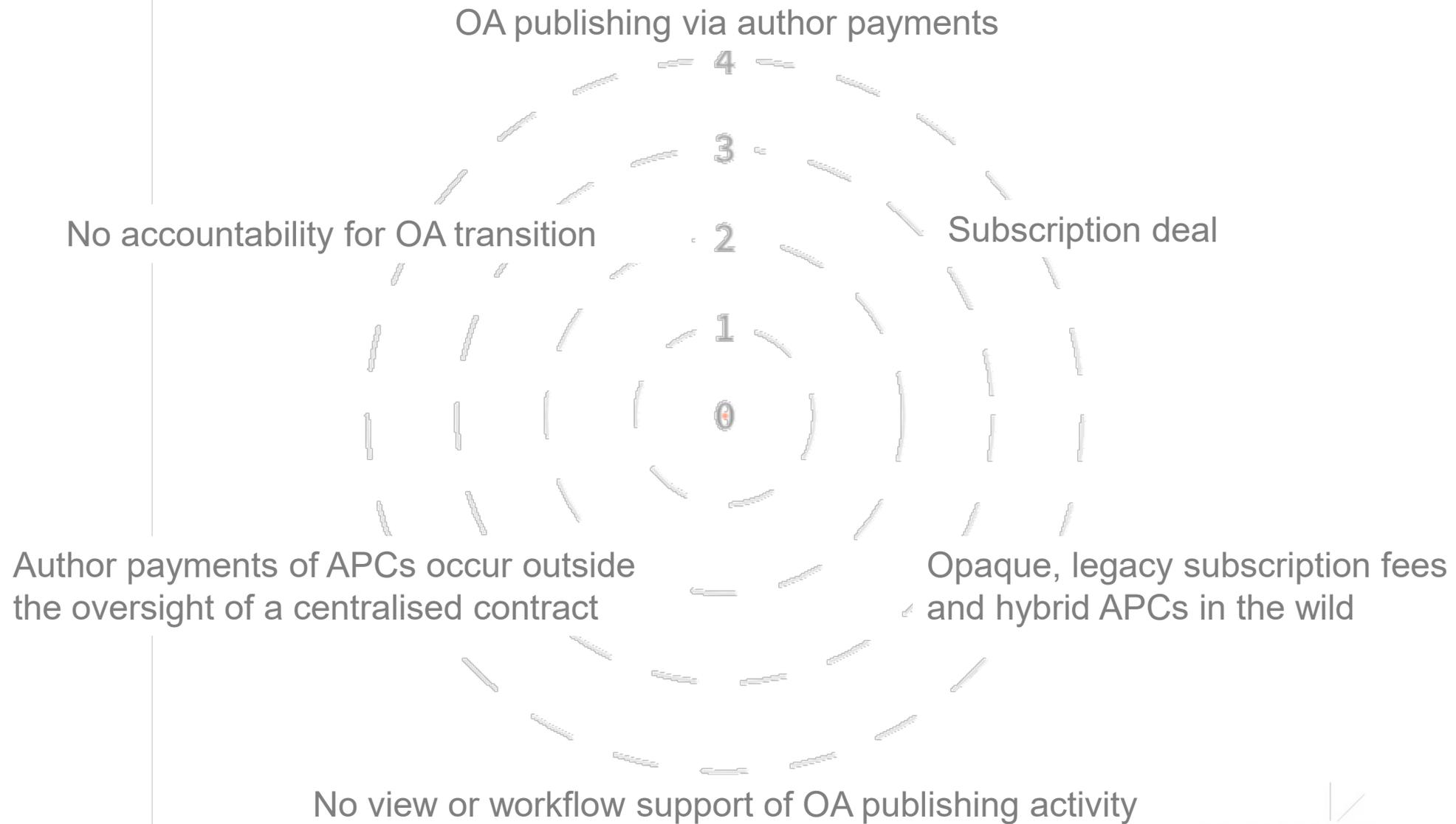
Articulate the service levels, terms, conditions and pricing of the agreement openly and transparently to enable community benchmarking and cost comparisons.

## COMMIT TO AN OPEN PARADIGM

Garner publisher commitment to a sustainable, equitable and irreversible transition to open access.

[ESAC Reference Guide to Transformative Agreements](#) – [How Transformative Is It? spectrum](#)

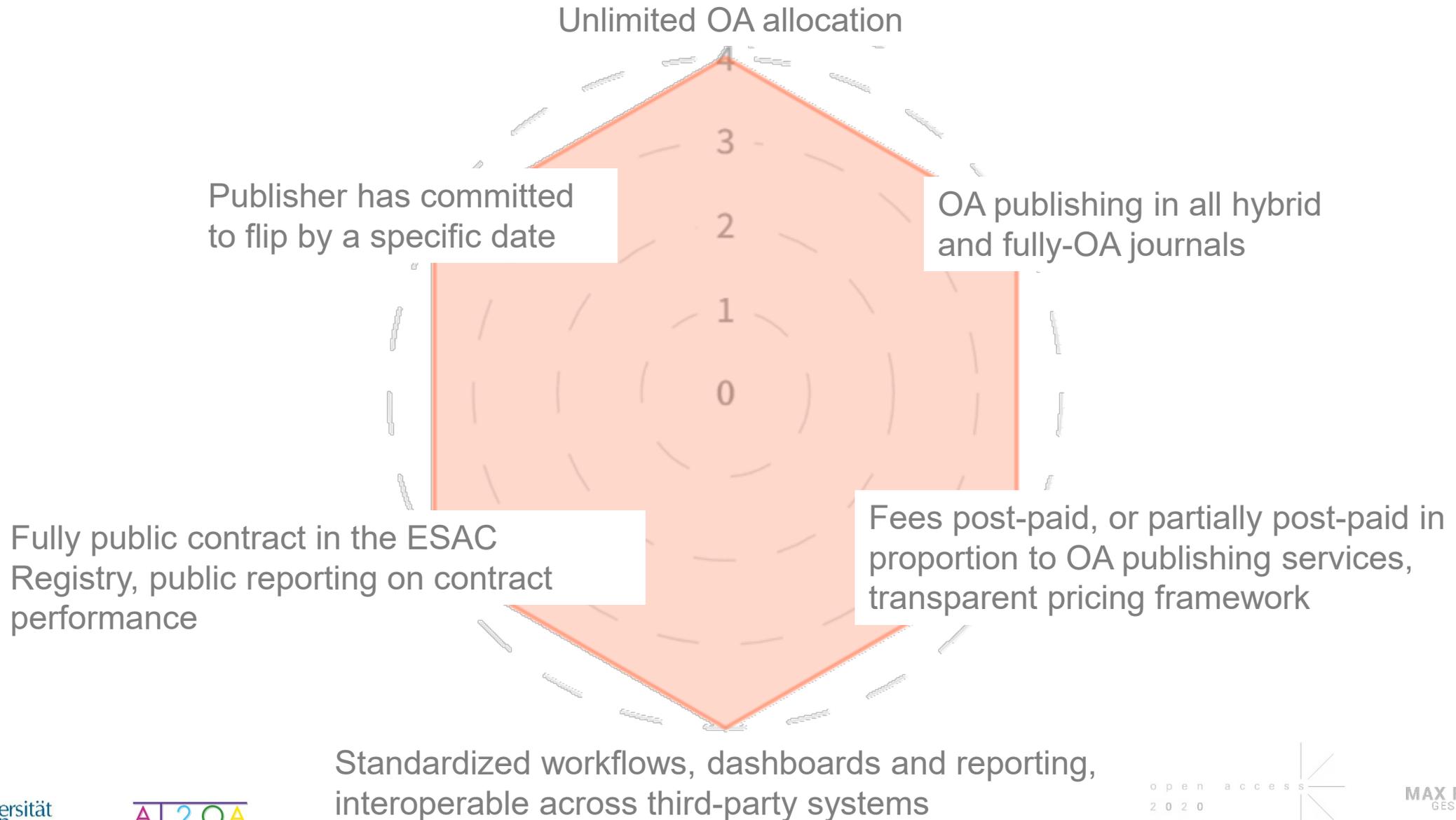
# Where we started



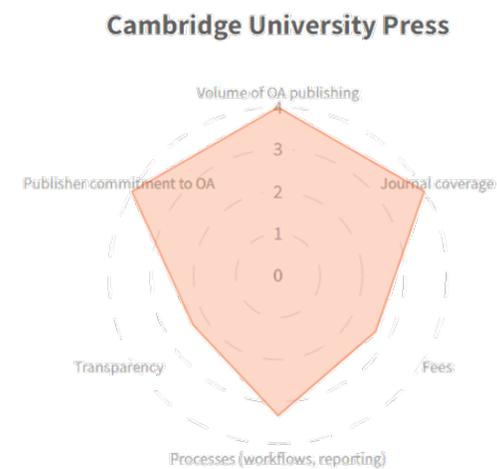
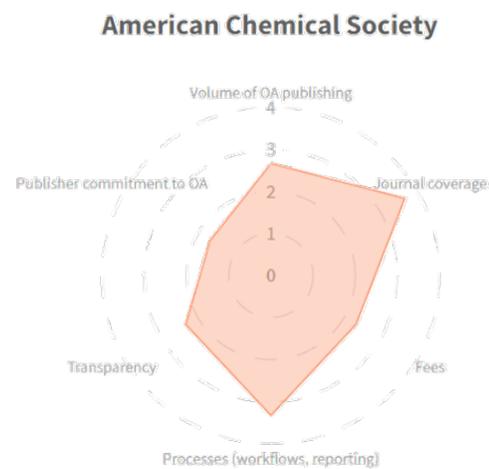
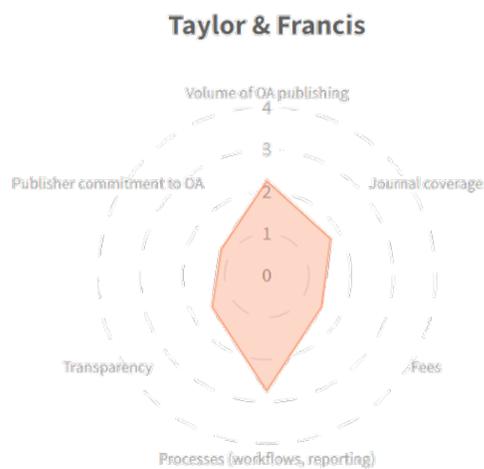
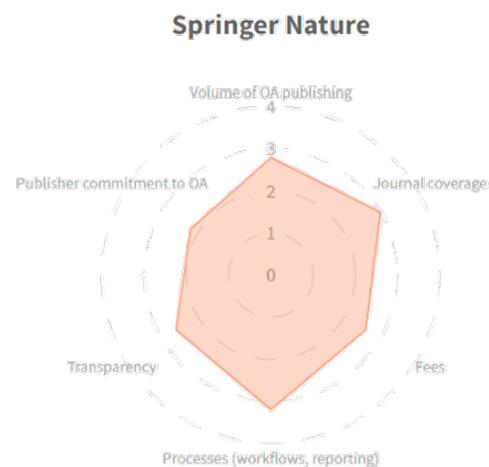
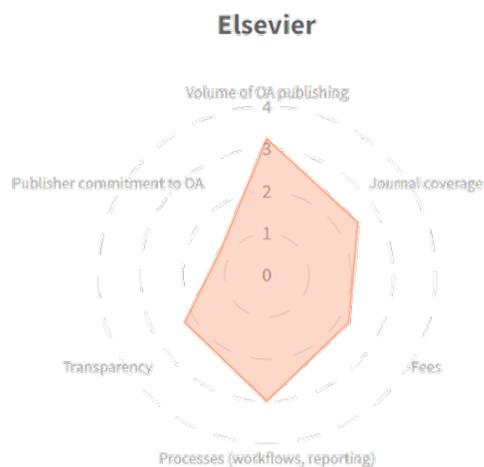
# Transformation drivers



# Where we are going



# Transformation drivers - progress and assessment



Survey and analysis to be published on the [ESAC Website](#)

# Transformation drivers - progress and assessment

## Elsevier



## Springer Nature



## Wiley



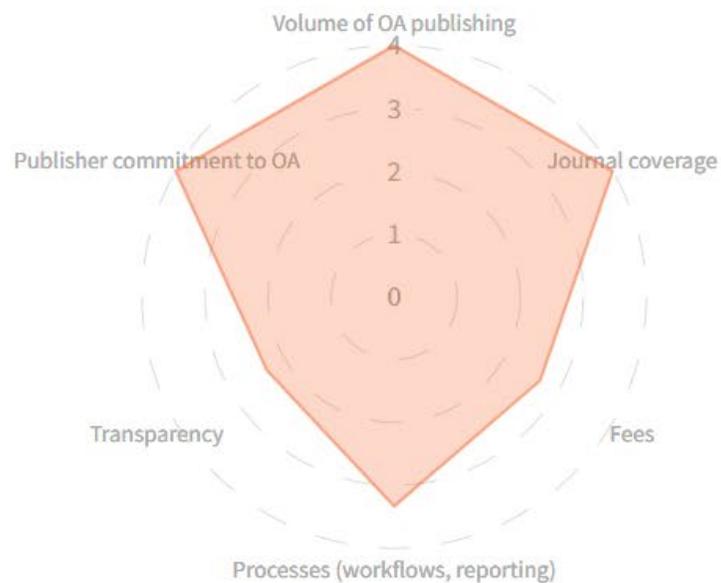
Survey and analysis to be published on the [ESAC Website](#)

# Transformation drivers - progress and assessment

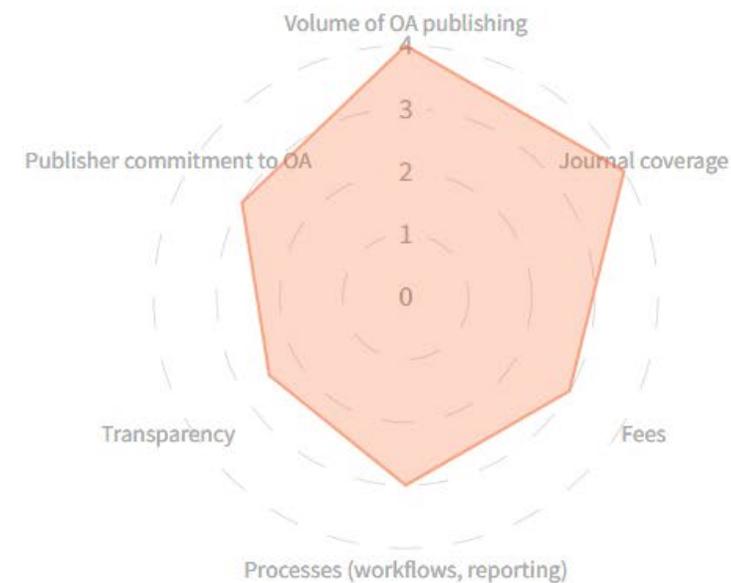
## Royal Society of Chemistry



## Cambridge University Press



## Association for Computing Machinery



Survey and analysis to be published on the [ESAC Website](#)

# Transformation drivers - progress and assessment

## Taylor & Francis



## Sage



## IEEE



Survey and analysis to be published on the [ESAC Website](#)

# Transformative agreements: progress and innovations, assessments and next steps

## OA2020 Working Group on Transformative Agreement Assessment

- Susanne Aerni, Consortium of Swiss Academic Libraries
- Adam Der, Max Planck Digital Library
- Jack Hyland, IReL
- Rita Pinhasi, University of Vienna
- Timo Vilén, FinELib consortium
- Mathew Willmott, California Digital Library (University of California)



## Get involved!

Explore the  
ESAC resources



[esac-initiative.org](https://esac-initiative.org)

[Register your  
transformative  
agreements!](#)

Contact us



[contact@esac-initiative.org](mailto:contact@esac-initiative.org)